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COMMISSIONER OF PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231

ATTORNEY DOCKET NO. 102131-200
Page 1 of 3

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date June 1, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL662089145US to the Commissioner of Patents, Washington, D.C. 20231.

Todd E. Garabedian
Name of Person Mailing Paper

Todd E. Garabedian
Signature of Person Mailing Paper

APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53

Transmitted herewith for filing under 37 C.F.R. § 1.53 is the patent application of:

Inventor(s): **MARINA V. BACKER and JOSEPH M. BACKER**

for:

MOLECULAR DELIVERY VEHICLE FOR DELIVERY
OF SELECTED COMPOUNDS TO TARGET

1. Type of Application

☒ Original ☐ Continuation ☐ Divisional
☐ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. § 119)

☐ The new application being transmitted claims the benefit of
☐ prior foreign application serial no. _____, filed on
_____ in _____ (country); or
☐ PCT international application designating at least one country
other than the U.S. application Serial no. _____, filed on
_____.

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e) and 120)

☒ The new application being transmitted claims the benefit of prior
copending U.S. provisional application:
Serial No. 60/209,660, filed on June 6, 2000;

4. Papers Enclosed Which Are Required for Filing Under 37 C.F.R. § 1.53(b)

[47]	page(s) of Specification
[8]	page(s) of Claims, having <u>57</u> claims, including <u>7</u> independent and <u>50</u> dependent claims
[1]	page(s) of Abstract
[13]	sheet(s) of <input checked="" type="checkbox"/> formal <input type="checkbox"/> informal Drawings

06/01/01
JC867 U.S. PTO

JC868 U.S. PTO
09/872712
06/01/01

09872712 060101

5. Additional Papers Enclosed

- ☐ Preliminary Amendment
☒ Information Disclosure Statement
☒ Form PTO-1449
☒ Copies of Cited Art
☒ Other Information Disclosure Letter
Sequence Listing (paper copy and diskette)

Declaration

6. A signed declaration is ☒ enclosed ☐ not enclosed.

7. Assignment

☒ Enclosed is a recordation form and an assignment of the invention to
SibTech, Inc..

8. Small Entity Status

☒ Applicants claim small entity status:

9. Fee Calculation (37 C.F.R. § 1.16)

CLAIMS AS FILED					
	Number Filed	Number Extra		Rate	Basic Fee \$ 710.00
Total Claims	57	-20	-37-	\$18.00	\$ 666.00
Independent claims	7	-3	- 4-	\$78.00	\$ 312.00
Multiple Dependent claims, if any:				\$270.00	-0-
Total					\$1,688.00
Reduction for small entity by 50%					\$ 844.00
TOTAL FILING FEE					\$ 844.00

10. Method of Payment of Fees

- ☐ Check in the amount of \$
☒ Charge Account No. 23-1665 in the amount of \$844.00.

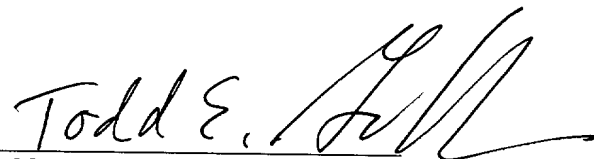
11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to:

Docket Coordinator
WIGGIN & DANA
One Century Tower
265 Church Street
New Haven, CT 06508-1832



Todd E. Garabedian, Ph.D.
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Date: June 1, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Marina V. Backer et al.
Serial No. :
Filed : HEREWITH
For : MOLECULAR DELIVERY VEHICLE FOR DELIVERY
OF SELECTED COMPOUNDS TO TARGETS
Examiner :
Attorney Docket : 102131-200
Group Art Unit :

* * * * *

I hereby certify that this correspondence is being deposited
with the United States Postal Service as First Class Mail in an
envelope addressed to: PATENT APPLICATION, Commissioner for
Patents, Washington, D.C. 20231 on June 1, 2001.

By Todd E. Garabedian
Todd E. Garabedian, Ph.D.
Registration No. 39,197
Attorney for Applicants

* * * * *

STATEMENT UNDER 37 CFR §1.821(f) REGARDING CONTENT OF COMPUTER-
READABLE FORM AND PAPER COPY OF THE SEQUENCE LISTING

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With respect to the above-identified patent application,
and as required by 37 CFR §1.821(f), Applicants submit that with
regard to the enclosed Sequence Listing, the information
recorded in computer readable form is identical to the written
sequence listing.

If a telephone conference would aid in the continued prosecution of this application, the Examiner is invited and encouraged to contact Applicants' representative at the telephone number listed below.

Respectfully submitted,

MARINA V. BACKER ET AL.

By Todd E. Garabedian
Todd E. Garabedian, Ph.D.
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Date: June 1, 2001